



PROFESSIONAL DEVELOPMENT OPPORTUNITY

Register
Today! 

2018 Compliance University

June 20 – 22, 2018

Brookdale Community College

Warner Student Life Center

Lincroft, NJ

It's your job to ensure that your bank fully complies with its outside regulatory requirements and internal policies. You are charged to oversee accounting, investment and lending operations but to do so, you must monitor new developments in financial regulations and keep everyone at your bank apprised of recent developments.

The best place to get the latest information from experts? NJBankers of course! There are plenty of compliance seminars out there but Compliance University is a 3-day intensive look at what you need to know to keep your bank compliant.

Fines are nasty!

It's bad enough if your bank must pay fines but what about its reputation – and YOUR reputation! Get a regulatory update right from your regulators. They know the hot topics. You'll learn how to prepare for their exams too!

Can you ever get enough information about Beneficial Ownership? TRID? HMDA?

You'll get it all at Compliance University. And with so much risk, you can't afford to miss the sessions about Fair Lending Risk Management.

Who says Compliance isn't fun?!

Our experts will test your flood IQ and make it fun. Really! It's all changing. You need to know where you stand.

BSA/AML, Money Transmitters, Consumer Protection Regulations – it's all covered here. The Conference explains the impact of GDPR on U.S. banks and even takes a look at the pitfalls and opportunities available to you and your bank.

Show your regulators, examiners, colleagues, bosses, direct reports AND the bad guys in the world that you know your stuff! Register today to be your best.

CLE/CPE/CAMS/CRCM/CFSSP CREDITS MAY APPLY

For additional information, Please call or e-mail:

Registration: Diane Starr
908-272-8500, ext. 600
dstarr@njbankers.com

Sponsorships: Jenn Zorn
908-272-8500, ext. 611
jzorn@njbankers.com

General Information:
908-272-8500
info@njbankers.com

Program - Wednesday, June 20, 2018

Morning Session

8:00 a.m.

Registration/Continental Breakfast

8:30 a.m.

Welcome Remarks

Asaad Faquir, Director, RSK Compliance Solutions

8:30 a.m.

Regulatory Update

Bethany Abele, Esq., Partner, Riker Danzig Scheer Hyland & Peretti

9:30 a.m.

Refreshment Break

9:50 a.m.

Meet the Regulators: Hot Topics and Top Five Violations

Kenneth J. Benton, Senior Consumer Regulations Specialist
The Federal Reserve Bank of Philadelphia

Robert Macrae, Field Officer Supervisor - Compliance
Federal Deposit Insurance Corporation

Annette Larson, Chief Examiner
New Jersey Department of Banking and Insurance

11:00 a.m.

Preparing for Your Examiners: Expect the Unexpected

Terri Luttrell, CAMS-Audit, Manager of Consulting, Banker's Toolbox

12:00 p.m.

Buffet Lunch

AGENDA

Afternoon Session

12:00 p.m.

Afternoon Registration/Lunch

1:15 p.m.

HMDA 2018

David Coccagna, Senior Consultant – MidAtlantic , CAPCO

2:45 p.m.

TRID – Challenges Three Years Later -

Bob Cardwell, Managing Principal – Consumer Finance and Fair Banking, CAPCO

4:15 p.m.

Adjournment

Program - Thursday, June 21, 2018

Morning Session

8:00 a.m.

Registration/Continental Breakfast

8:30 a.m.

Welcome Remarks

Asaad Faquir, Director, RSK Compliance Solutions

8:30 a.m.

The BSA/AML Risk Assessment:

Documenting BSA/AML Risks to Comply with Regulatory Expectations

William Schlameuss, ARC RISK Compliance

9:30 a.m.

Compliance Monitoring/Auditing - Hot Topics, Tools and Tips – Scoping process, findings and recommendations that are often overlooked in various consumer protection regulations

Karen Martino, Partner, Martino + Marley

Mary Marley, Partner, Martino + Marley

10:50 a.m.

Break

AGENDA

11:05 a.m.

Banks, Licensed Money Transmitters and Compliance

Dan Holland, Co-founder, DepositSlips LLC

Andy Kalfas, Co-founder, DepositSlips LLC

12:00 p.m.

Buffet Lunch

Afternoon Session

12:00 p.m.

Afternoon Registration/Lunch

1:15 p.m.

A Look Back – Pitfalls and Opportunities

William Schlameuss, ARC RISK Compliance

2:15 p.m.

Beneficial Ownership

Salvatore Zerilli, CPA, CAMS, Managing Director, The Mercadien Group

Robert Irwin, CFE, CFCS, CAMS, MBA, Director, The Mercadien Group

3:15 p.m.

Break

3:30 p.m.

The EU General Data Protection Regulation (GDPR): How it Impacts Banks in the United States

Joseph D. Simon, Esq., Esq., Partner, Cullen and Dykman LLP

Elizabeth A. Murphy, Esq., Partner, Cullen and Dykman LLP

Timothy R. Larson, CPA, Partner, Grassi & Co.

4:30 p.m.

Adjournment

Program - Friday, June 22, 2018

Morning Session

8:00 a.m.

Registration/Continental Breakfast

8:30 a.m.

Welcome Remarks

Asaad Faquir, Director, RSK Compliance Solutions

AGENDA

8:30 a.m.

Fair Lending Risk Mismanagement

Allison Giancola, Senior Compliance Consultant, RSK Compliance

9:30 a.m.

Integrating Three Lines of Defense into Compliance ERM

Stephen R. King, JD, AMLP, Director of Regulatory Compliance Services, Wolf & Company

10:30 a.m.

Break

10:45 a.m.

Flood Feud-Test your Flood Compliance IQ

Mark Liston, VP National Sales, Western Technologies

12:00 p.m.

Buffet Lunch

Afternoon Session

12:00 p.m.

Afternoon Registration/Lunch

1:15 p.m. – 2:30 p.m.

FBI Infragard

Michael J. Maggipinto, Special Agent, Federal Bureau of Investigation

2:30 p.m.

Break

2:45 p.m. – 4:15 p.m.

SARS Task Force Panel

Speaker TBD

4:30 p.m.

Adjournment

SPONSORSHIPS

2018 Compliance University
June 20 – 22, 2018
Brookdale Community College
Warner Student Life Center
Lincroft, NJ

Sponsorships give your company maximum exposure and recognition to Conference attendees and provide another exceptional opportunity to forge new relationships. Enhance your image by sponsoring an event.



See and Be Seen!

Sponsors \$750 each per day or \$2,000 for all 3 days:

- ONE representative for your exhibit space
- One eight-foot, skirted, cloth-covered table
- Recognition in the conference program
- Recognition with name badge ribbon during the conference
- Recognition with signage at the conference
- Pre-show mailing recognition
- Pre & Post conference attendee list

The fee also entitles the representative to the program, Continental Breakfast, and lunch. Registration for any additional representatives will be at the regular member cost of \$255 per person or \$405 for a non-member.

Contact Name: _____

Company Name: _____

Address: _____

City: _____ State: _____ Zip: _____

Phone: _____

Email Address: _____

PAYMENT METHOD

- AmEx
 Visa
 MasterCard
 Discover
 Check Encl
(To ensure your space please fax form even if mailing with a check.)

Card # _____ Exp. Date _____ CCV# _____

Name on Card _____

Signature _____

Send Completed Form and Company Logo in high res .jpg to:
 Jenn Zorn, SVP/Director of Education and Business Development, NJBankers
 411 North Avenue East, Cranford, NJ 07016
 Fax: 908-272-2720 - jzorn@njbankers.com

THANK YOU TO OUR SPONSORS



REGISTRATION

2018 Compliance University

Wednesday, June 20 to
Friday, June 22, 2018

AM Registration:

8:00 a.m. – 8:30 a.m.
(Wednesday, Thursday, Friday)

PM Registration: (Includes lunch)

12:00 p.m. – 12:30 p.m.
(Wednesday, Thursday, Friday)
(Afternoon Sessions begin at 1:15 p.m.)

Program: 8:30 a.m. – 4:30 p.m.

Location:

Brookdale Community College
Warner Student Life Center
765 Newman Springs Road
Lincroft, NJ 07738

REGISTER

By Mail:

New Jersey Bankers Association
411 North Avenue East
Cranford, NJ 07016

By Fax:

908-272-2720

Online:

www.njbankers.com

Registration Information: Program fee includes seminar materials, Continental Breakfast, lunch and refreshment breaks. The deadline for refunds is **June 13, 2018**. After **June 13, 2018**, refunds will not be granted, but substitutions are welcomed. All cancellations must be submitted in writing.

In case of inclement weather, please call the New Jersey Bankers Association office after 6:30 a.m. for a message on cancellation.

Follow Us On:



Attendee

Name: _____ Title: _____

Company: _____

Address: _____

City/State/Zip: _____

Phone: _____ Cell: _____

**E-mail: _____

****Note, all handouts will be emailed prior to seminar**

Attendee Registration Fee:

- Full Compliance School ONLY (3 day)*** - NJBankers member - **\$620**
- Full Compliance School ONLY (3 day)*** - Non-member* - **\$770**
- Hardship - (please see guidelines on next page)**
- One Day Registration - NJBankers member - **\$255**
 - Wednesday** **Thursday** **Friday**
- One Day Registration - Non-member* - **\$405**
 - Wednesday** **Thursday** **Friday**
- Half Day Registration - NJBankers member - **\$155**
 - AM Session (8:00 a.m. – 1:00 p.m.) or PM Session (12:00 p.m. – 4:30 p.m.)**
 - AM Wednesday** **AM Thursday** **AM Friday**
 - PM Wednesday** **PM Thursday** **PM Friday**
- Half Day Registration - Non-member* - **\$305**
 - AM Session (8:00 a.m. – 1:00 p.m.) or PM Session (12:00 p.m. – 4:30 p.m.)**
 - AM Wednesday** **AM Thursday** **AM Friday**
 - PM Wednesday** **PM Thursday** **PM Friday**

Both AM & PM session for half days includes lunch, if 2 people are splitting one day, an additional fee of \$50 will be automatically charged for lunch.

- I will require special accommodations/dietary needs to participate.

Please List Needs: _____

PAYMENT METHOD

AmEx__ Visa__ MasterCard__ Discover__ Check__

(To ensure your space, please fax form even if mailing with a check.)

Name on Card _____

Card # _____ Exp. Date _____ CCV# _____

Signature _____

***** Multi-day discounts cannot be split among multiple attendees ***** **If you are not a member of NJBankers and would like to join, contact Jenn Zorn, 908-272-8500 ext. 611.*



HARDSHIP GUIDELINES

The following constitutes the financial hardship guidelines and procedures for continuing legal education programs and courses sponsored by the New Jersey Bankers Association:

1. The fees charged by NJBankers for continuing legal education programs and courses reflect the Association's commitment to the availability of affordable, quality CLE programs to our members and their associates. Reduced fees to attend NJBanker's programs are available to:

a) Attorneys in private practice whose gross annual income is less than \$35,000; attorneys who work for not-for-profit organizations; and judges, judicial law clerks, law professors and law students may apply for a 15% discount off the member registration fee;

b) Employees of financial services regulatory agencies and other governmental entities may register at the member rate.

2. Requests for reduced or waived fees for NJBanker's sponsored CLE programs shall be made in writing and submitted to Jenn Zorn, Senior Vice President/Director of Education and Business Development no later than 14 days before (June 6, 2018) the date on which the CLE course or program is to be held.

3. The written request shall contain a statement of the economic hardship and/or employment status and the relief sought by the applicant. All requests made pursuant to these guidelines shall remain confidential.

4. The applicant shall be informed of the committee's decision, not later than seven days prior to the date (June 13, 2018) on which the CLE program or course is to be given, provided that the application for a reduced or waived program fee has been submitted in a timely manner.